

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re the Application of:**

NAKAMURA, Shunji

Serial No.: 10/003,304

Filed: December 6, 2001

**For: SEMICONDUCTOR MEMORY DEVICE AND  
METHOD FOR FABRICATING THE SAME**

**ATTN: Refund Section  
Accounting Division  
Office of Finance**

Group Art Unit: 2811

Examiner: Not yet assigned

P.T.O. Confirmation No.: 7007

## **REQUEST FOR REFUND**

Commissioner for Patents  
Washington, D.C. 20231

Date: June 11, 2002

Sir:

This is a request for a refund with respect to the charges to Deposit Account 01-2340 dated April 25, 2002 (Control Nos. 3 & 4; Fee Codes 103 & 102) for the above-identified patent application. A copy of the monthly statement dated April 30, 2002, in which the error referred to occurs, accompanies this request.

The amount of the refund requested is \$306.00 (\$54.00 + \$252.00) for the filing fee.

The Patent and Trademark Office inadvertently charged the amount of \$54.00 for filing claims in excess of twenty and \$252.00 for filing independent claims in excess of three. However, the application was filed on December 6, 2001, with checks in the amount of \$992.00, including \$740.00 for basic filing fee and \$252.00 for filing three extra independent claims. Since then, no extra claims have been added.

Please make the refund by crediting Account No. 01-2340.

Respectfully Submitted,

## ARMSTRONG, WESTERMAN & HATTORI, LLP

Sadat Khan

Sadao Kinashi  
Attorney for Applicants  
Reg. No. 48,075

SK/fs

Adjustment date: Atty9/26/06 File No. 960456B  
04/25/2003 1ST BRIEF DATED 4/22/03 100-3311  
01 FC:103 Suite 1000, 1725 K Street, N.W.  
02 FC:102 Washington, D.C. 20006  
(202) 659-2930



23850

# PATENT TRADEMARK OFFICE

Enclosures: Copies of canceled checks (No. 52763 & No. 52789); Monthly Statement of April 30, 2002; Application Transmittal filed December 6, 2001 and Date Stamped Postcard  
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52789

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON LLP

Independent Claims in excess of Three (3)

252.00

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52763

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON LLP

Basic Filing Fee - Utility

740.00

ROUTE TO: FS

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

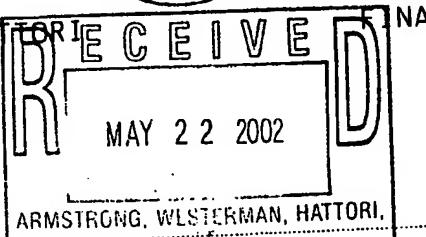


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MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

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Account No.	012340
Date	4-30-02
Page	1

PLEASE SEND REMITTANCES TO:  
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Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEES CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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OPENING BALANCE      TOTAL CHARGES      TOTAL CREDITS      CLOSING BALANCE

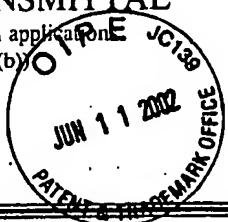
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\*\*\* O.D. INDICATES OVERDRAW

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [XX] Duplicate



Attorney Docket No.	960456B	Total Pages	
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First Named Inventor or Application Identifier

Shunji NAKAMURA

Express Mail Label No.

PAGE 1 OF 3

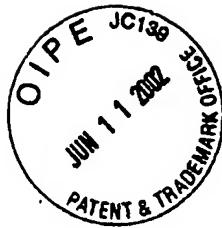
APPLICATION ELEMENTS FOR:  
SEMICONDUCTOR MEMORY DEVICE AND METHOD  
FOR FABRICATING THE SAME

ADDRESS TO: Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1.  Fee Transmittal Form (Incorporated within this form)
2.  Specification Total Pages [79]
3.  Drawing(s) (35 USC 113) Total Sheets [39]
4.  Oath or Declaration Total Pages [2]
  - a.  Newly executed (original or copy)
  - b.  Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed).
    - i.  Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment to FUJITSU LIMITED, Kawasaki, Japan [*Name, city and country*] in the prior Application Serial No. 08/660,324, filed on June 4, 1996, has been recorded at Reel 8061, Frame 0193 on July 31, 1996.  
Please publish the assignee data with the application.
9.  37 CFR 3.73(b) Statement (when there is an assignee)       Power of Attorney



CARD NO: 06397

U.S. Patent Application Docket No: 960456B  
Serial No: Filed:  
Patent Number: Issued:  
Applicant(s): NAKAMURA, SHUNJI

Papers filed herewith on: 12/06/01

Fees: \$ 992.00

Other: 1.53b-Div. Transmittal; Spec. (79); Drwg. (39)  
Decl.; IDS w/ 1449; Pre. Amd.



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

SK /PS